

# GREEN EFFORTS

*Green and Effective Operations at Terminals and in Ports*

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### Recommendations Manual for Terminals

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## Introduction

The GREEN EFFORTS project, co-funded by the European Commission, after 30 months was concluded end of June 2014. There were very comprehensive terms of reference which can be simplified by stating "GREEN EFFORTS aimed at making terminals and ports a better place to work and to live with" as carbon footprint mitigation was the objective specified by the European Commission.

The project was a true challenge for mainly two reasons:

- Port sustainability was and is an objective well supported by policy makers, the industry, administrations and the public but there is some fuzziness how this could be achieved in detail and ideas sometimes were quite divergent.
- There is a tremendous lack of statistical process information.

The project, however, was very lucky to find industrial partners to cooperate, not only in Europe but especially in South America. At a later project stage United Nations Economic Commission for Latin America and the Caribbean (UN ECLAC) in Santiago de Chile coordinated the support resulting in a large collection of consumption data.

As the focus of interests of ports and terminals, depending on the port model, usually is different, also involvement of ports was searched for and mainly found in Singapore, Los Angeles and the European Ports of Antwerp and Hamburg. The RoRo Port of Trelleborg and the inland navigation Port of Riesa (upper river Elbe) as consortium partners represented their special operational profile. However the majority of the project work aimed at container terminals as these are the biggest representatives of the industry with the highest energy consumption.

Carbon footprint mitigation at terminals and in ports directly depends on energy consumption. Not every port and terminal manager might be equally motivated to reduce the carbon footprint, being concerned of the costs this might cause. It is, however, much easier to find fellow campaigner for greener ports and terminals once energy savings and mitigation costs can become positively balanced. The economy of potential solutions therefore was a constant requirement during the course of the project.

The wide scope of GREEN EFFORTS did not allow for in depth research as resources were restricted. However the project was able to deliver a rather comprehensive overview of opportunities to achieve improved energy-efficiency and hence to provide a useful platform to focus further research according to industrial needs, available solutions and political objectives.

This report is a compilation of the project deliverables and shall serve as a navigation tool to identify areas of interest without being forced to dig through big piles of paper. Provided references then guide further into the details of interest.

There is currently a high uncertainty amongst the terminal operators to find the most promising direction into the future. Terminal handling equipment requires high investments and should produce revenues for about 20 years. Staying with diesel-

fuelled equipment or consequently aiming at a full electric terminal therefore is a very crucial issue for terminal planning. GREEN EFFORTS recommends the European terminal industry to raise the level of pre-competitiveness and increase data exchange. Of course there is a fierce competition amongst the terminals but they all would gain if this could commence at a higher level of knowledge and experience. A data bank collecting all operational experiences would immediately multiply the development speed not forcing everybody to start from scratch. It also would support joint working groups to negotiate the course of future development with the equipment manufacturers to lead both parties to a win-win result. After so many years with relatively slow technical developments, current automation requirements are powerful innovation drivers shifting the focus from mechanics to information and (data) communication technologies.

Also port authorities are searching for their future profile in relation to energy-efficiency and emissions. Optimum solutions to exploit regenerative energies and to manage port smart grids requires orchestration activities currently not part of the portfolio of most authorities.

The GREEN EFFORTS project ended far from solving all problems but it hopefully rose the awareness for both, emission problems and energy-saving opportunities. Europe does not call the tune when it comes to size of terminal operators but why not targeting to be the innovation leader?

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## Energy Consumption

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### LIST OF ABBREVIATIONS /GLOSSARY

AGV(s)	Automated Guided Vehicle(s)
CEN	European Committee for Standardization (French: Comité Européen de Normalisation)
CO2	Carbon dioxide
ECH	Empty Container Handler
EU	European Union
GHG	Greenhouse Gas(es)
H	Hydrogen
Hr	Hour(s)
IMF	International Monetary Fund
kWh	Kilowatt hour (measure of electrical energy over one hour)
LED	Light emitting diode
LNG	Liquid Natural Gas
Lt	Liter Diesel
M	Meter(s)
OECD	Organisation for Economic Co-operation and Development
QC	Quay Crane (= Ship-to-Shore crane)
RMG	Rail Mounted Gantry
RMS	Reefer Monitoring system
RS	Reach Stacker
RTG	Rubber Tyred Gantry
TJ	Terajoule(s)
VC	Van Carrier (= Straddle Carrier)
W	Watt(s)
YT	Yard Tractor (=Terminal Tractor)



# 1 Container Terminal Consumers<sup>1</sup>

The main energy consumers in a container terminal are operational equipment and vehicles used in the terminal to handle containers, office buildings, repair workshop and staff services, reefer storage (refrigerated containers), and lighting in the terminal area. GREEN EFFORTS identifies energy consumption, focused on container terminals, according to all identified terminal process domains and quantifies the energy consumption of selected processes according to GREEN EFFORTS Reference Terminals<sup>2</sup>.

Container terminal energy consumers are firstly observed in two aspects: container transport related and terminal related.

**Container transport related** energy consumers are directly related to the movement of containers from the vessel to the stacking area and vice-versa and then further on to the barges, trucks and trains, which transport the containers to their destination. But for some equipment, even when the equipment is not in operation they need stand-by power, which has to be taken into calculation.

**Terminal related** energy consumers are related to the terminal operation even if containers are not moved. That includes (1) personnel shuttle, (2) Temperature controlled container (Reefer) and (3) Miscellaneous, such as yard lighting, showers, office buildings and maintenance and repair.

The energy consumption values of the container terminal handling equipment are shown below.

Handling equipment	Energy source	Average Energy Consumption per hour
QC	Electricity	153 kWh
RMG (Stacking / Train area)	Electricity	107,5 kWh
VC	Diesel	20 lt/hr
AGV	Diesel	12 lt/hr
YT	Diesel	8 lt/hr
RTG	Diesel	20,7 lt/hr
ECH	Diesel	9 lt/hr
RS	Diesel	15,7 lt/hr

Container handling equipment energy consumption values

The determined consumption data of equipment provided here is according to data received from container terminals and has been analyzed and compared with some other studies performed for e.g. ports and terminals in Rotterdam and Valencia.

The main factors which determine the energy efficiency are design and weight of handling equipment, utilization factors, size and operation of diesel engines, productivity, speed, and travel distances. Utilization factor and the equipment productivity are considered as the main input variables for estimating the reference terminal energy consumption. The source of energy (diesel, power, LNG, or H2) plays also an important role in determining the energy consumption, hence the emissions level.

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<sup>1</sup> GREEN EFFORTS Deliverable 4.1 Container Terminal Consumers

<sup>2</sup> GREEN EFFORTS WP 3 Process Map

The first calculation of energy consumption of handling equipment is according to three different configurations of developed reference terminals. The reference terminals are configured following three general container terminal operation models:

1. Pure van carrier (VC) terminal,
2. Rubber-tired gantry cranes (RTG) and yard tractor (YT) terminal,
3. Automated Guided Vehicles (AGV) and rail-mounted gantry cranes (RMG) terminal.

<b>Reference Terminals</b>	<b>Generic (TJ)</b>	<b>Reduction measurements (TJ)</b>	<b>Energy reduction</b>
VC	239,7	176,5	26%
YT/RTG	180,3	134,2	26%
AGV/RMG	177,6	89,4	50%

Percentage of energy reduction on reference terminals

After analyzing and comparing the total energy consumption of the three reference terminals, the current hybrid technology (diesel-electric) and energy recuperation have proved that the total equipment energy consumption can be reduced up to 45%. Besides that, there are other existing measures that have to be considered further, e.g. advanced technology, such as start-stop engines to all diesel equipment, which will allow a reduction of fuel consumption between 10 – 15%; alternative fuels and power sources: hydrogen fuel, fuel cells and LNG. However in the long term, it can be seen that future solutions for energy efficiency in container terminals is towards use of full electrification of their equipment.

## **1.1 Measures to reduce energy consumption**

The following approaches push reduction of energy consumption forward divided in behavioural, technical and organizational measures:

- The awareness of staff towards an efficient use of energy needs to be strengthened. This can be done by specific training or further education.
- Generally spoken, technical measures to reduce energy consumption comprise the usage of energy-efficient equipment (lighting, engines, and generators). Also, stand-by consumption should be closely monitored and if it cannot be reduced with technical measures, should be part of the awareness training of port personnel.
- Organizational measures comprise techniques that are of organizational matter.

As a conclusion, drawn from the conducted measures, it cannot be said that all of the discussed measures are well established or easily adaptable and/or investable, but by taking into account at least the following measures a terminal can well manage its use of energy and tackle unwanted emissions:

- Better plan necessary movement on the terminal
- The intensive use of renewable energy
- Reduce the consumption of energy in total.

## 2 A methodology to calculate the CO<sub>2</sub><sup>3</sup>

Within the GREEN EFFORTS project a new method is introduced for assessing energy consumption from container terminals and in extension the CO<sub>2</sub>-emissions. First, a methodology to calculate the energy consumption has been constructed, and then the method has been applied to generic terminal equipment.

It is an innovative top-down approach to calculate the CO<sub>2</sub>-emissions of terminals. This methodology is named '**the 6-step-approach**'. This approach can be considered as an easy applicable tool to get a brief and coherent overview of the total energy consumption of a terminal.

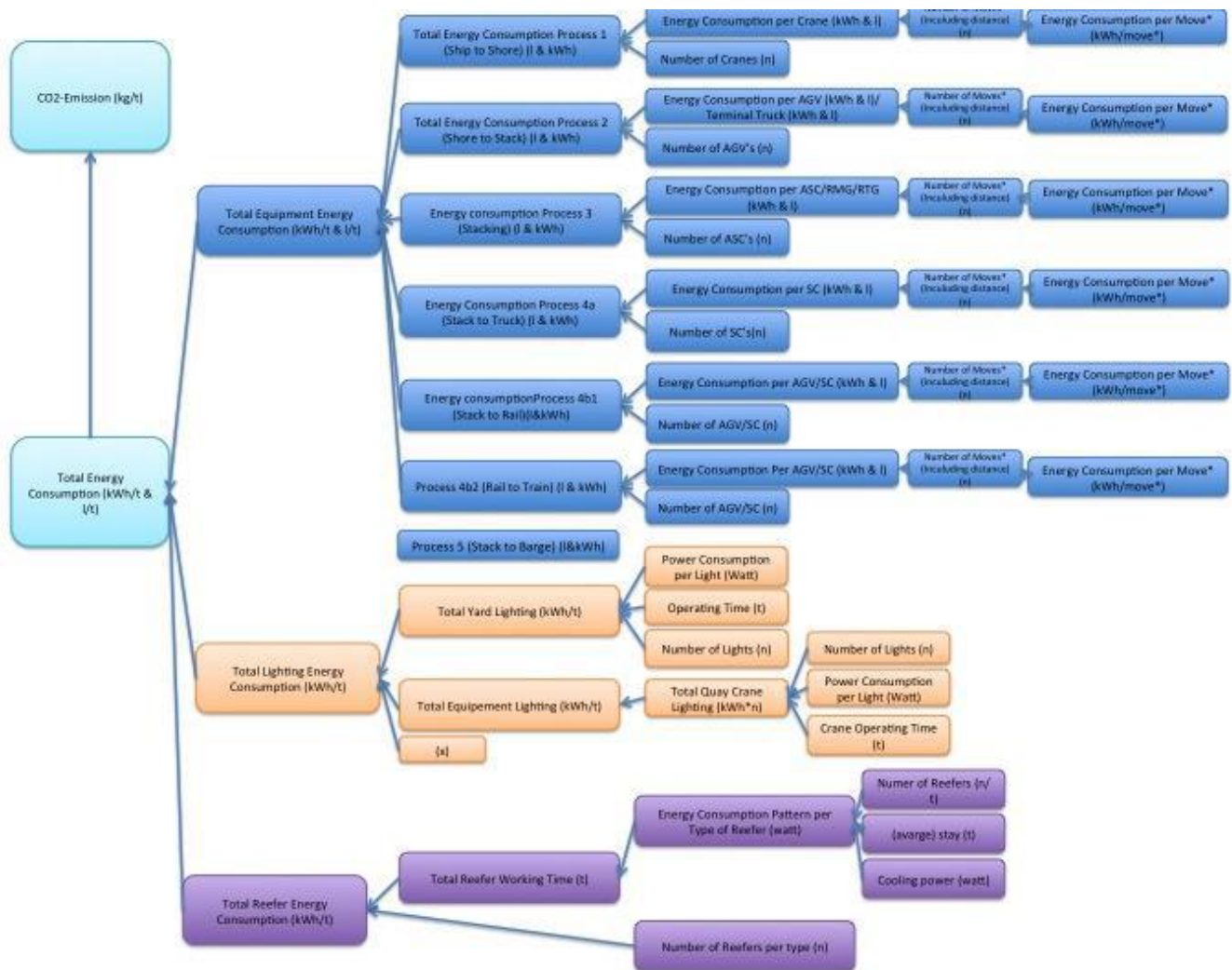
The methodology consists of 6 steps:

- 1- Operations on the terminal (what is actually happening?)
- 2- Construction of an analytical model of activities
- 3- Development of an algorithm based on the analytical model
- 4- Application of the model (preferably with real data, presently mostly based on estimations)
- 5- Valorization of the outcomes of the model
- 6- Policy recommendations

The structure of the '6-step-approach' is based on three clusters of sub-modules of processes that cover more than 95% of all energy consumption at the terminal. Each cluster is supported by a software tool and a data base which makes it possible to calculate the energy consumption of one specific terminal or to benchmark more terminals in a systematic way. The three modules combined are presented in figure below:

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<sup>3</sup> GREEN EFFORTS deliverable 4.2 *A top-down methodology to calculate the CO<sub>2</sub>-footprint for terminal operations; the 6-step approach*



An important contribution of the 6-step approach to the port community is the fact that the model delivers outcomes that can function as the basis for tailor made recommendations that cover almost all activities. Therefore the main objective of the tool is that it can function as a benchmark tool for companies, port authorities, EU, WorldBank/IMF/OECD, etc. (policy investment). In addition, the 6-steps approach is a methodology which is coherent with the CEN standard CEN 16258 "Methodology for calculation and declaration of energy consumption and GHG emissions of transport services (freight and passengers)". The CEN standard contributes to the standardisation, comprehensiveness, transparency, consistency, generalization and predetermination of the calculations of the energy consumption in the 6-steps approach. Therefore, the outcomes of the 'top-down model' offers good opportunities for generic recommendations as well as specific and tailor made recommendations. It also indicates that it will become more relevant to make a distinction between old and new terminals as well. The old terminal operates with equipment and rolling stock fuelled by diesel and many of the recently constructed terminals, especially in Western Europe are nowadays 100% electricity driven.

An observation within the GREEN EFFORTS is that the focus of terminals until today has been one sided on efficiency; nowadays there are clear signs of a new awareness rising with respect to the costs of energy and the fact that there might be a trade-off between efficiency and energy consumption.

## 2.1 Outcomes

The 6-step approach is applied to three clusters, namely equipment, reefers and lighting and summary of outcomes and recommendations are as below:

### **Equipment Consumption:**

- Using alternative fuels. Blending biofuels can reduce the emissions of diesel fuel. But using electricity has the most potential. Electricity cannot only be generated in a more clean way, but can also be recuperated by equipment. This makes the use of electricity a more energy efficient way of operating equipment.
- It is also recommended more efficient use of equipment. This means that idle runs have to be minimized. One of the developments on this process is the use of double loading cycles of Quay Cranes.
- This brings us also to the human factor in terminal operations. Most equipment is still operated by humans and the way in which equipment is operated is directly connected to the energy consumption of equipment. Therefore, good and regular driver training can make changes in driving behaviour.
- Using energy management systems to operate load shifting and energy balancing in smart grids can balance the energy consumption throughout the terminal in a way that energy is used in an efficient way.

### **Reefer:**

Consumption of reefers depends on

- Nature of cargo resulting in a closed cooling air system as e.g. for deep frozen cargo or an air exchange process as e.g. for fruits
- Setpoint temperature providing the required carriage temperature
- Return air temperature resulting from cargo temperature and hence governing the cooling demand to reach the carriage temperature
- Ambient temperature
- Sun radiation resulting in reefer body surface temperature higher than ambient temperature
- Reefer size, the consumption of a 40' container is about double that of a 20' container
- Reefer technology
- Reefer status including airtightness of doors and ventilation openings and clean ventilator systems.

Potential measures to reduce energy consumption

- Reefer technology, which however can only be improved by the owners of the reefers, i.e. shipping lines and leasing companies. Technical measures include
  - Improved insulation, however insulation material must be certified for global use
  - Compressor systems with adaptive control
  - Air fan systems with adaptive control
- Sun protection roofs, preferably combined with photovoltaic panels, to avoid direct sun radiation

- Minimizing unplugged periods during transshipment to avoid bigger differences between setpoint temperature and return air temperature
- Not accepting “hot cargo”, i.e. reefers not sufficiently pre-cooled and with cargo not yet cooled down to carriage temperature

Opportunity to exploit regenerative energy once available

- Regenerative energies are often volatile and not available once operation would need it. For deep frozen reefers the time gap between availability and demand can become bridged by “advanced cooling” i.e. lowering the setpoint temperature by a few degrees during periods of availability and switch it back to normal once regenerative energy supply ceases. This results in no energy demand for the compressor for a longer period. The air fans will of course need to operate. This procedure requires a suitable reefer monitoring system (RMS) with the opportunity to apply computer-supported control of setpoint temperatures according to availability of regenerative energies. The ideal solution is to integrate the RMS into a terminal smart grid solution providing optimized management of all electrical energy demand and supply on site.

## **Yard Lighting**

- More energy efficient lights should be used in terminals. Switching to LED lighting can make significant progresses. LED’s can generate the same amount of light as conventional lights but use far less energy. A real case calculation for the inland navigation terminal Riesa conventional lighting by High Pressure Sodium (HPS) floodlight resulted in a necessary power input of 24.600 W. The LED alternative calculated for a minimum light intensity of 20 lumen at a maximum height of light posts of 37m resulted in energy savings of 57%<sup>4</sup>.
- Improvements can also be made on organizational levels. Nowadays most terminals are fully illuminated which cost a lot of energy. It is recommended to make differentiations in the lighting of different areas, for instance only full illumination for areas with work activities or sensor based lighting. This recommendation may interfere with security requirements but there can be tailor made solutions like combining visible lighting with infrared lighting for monitoring.

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GREEN EFFORTS, 2012-2013, Work Package 3: Process Map

GREEN EFFORTS, Aug 2014, White Paper #08 Cool Roofs

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<sup>4</sup> GREEN EFFORTS White Paper #02a LED Technology for CT Riesa – Estimate of Saving Potential compared to HPS

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# Simulation

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## List of Abbreviations

- KPI Key Performance Indicator
- ED Enterprise Dynamics Developer
- TOS Terminal Operating System
- CHE Container Handling Equipment
- ETA Estimated Time of Arrival



## Simulation

The increasing need for information regarding terminals carbon footprint calculations as well as the lack of information on correlation between key performance indicators (KPI) and parameters / decision are the key drivers in this field of research. So far, various approaches have been applied for simulating container terminals. Those have been used to validate decisions during late stages of planning processes. The high complexity and the high computational effort of micro-simulations, in combination with the required number of simulation runs to statistically validate such analyses, prevented extensive large scale studies. Additionally, the diversity of cargo RoRo / Ferry as well as Inland Waterway terminals are facing, has to be considered in the simulation model as well.

Creating a mathematical model for complete terminal environments can be regarded as a highly complex process. In practice, such projects are usually implemented to validate strategic decisions. In order to gain applicable results those models are tailored to the specific terminal requiring a tremendous amount of data.

To facilitate the process of determining a terminals carbon footprint as well as developing measures to reduce the terminals emissions, simulation models were developed in GREEN EFFORTS.

For Simulation, the Software Enterprise Dynamics Developer (ED) was used. The reason is that ED is widely used in the industry and developing models in ED is more economic. Three micro-simulation models of port terminals were developed to answer different questions which are relevant for the industry: First, for the RoRo Port of Trelleborg, the amount of carbon dioxide emissions produced by external vehicles in ferry port was determined. Second, the best dispatching strategy for horizontal transport and respective vehicle configuration was identified weighing financial, operational and environmental criteria against each other for a non-existing example RTG Container terminal. In this case, significant saving or wasting potential was identified. Third, for an inland container terminal with restricted pre gate area the amount of container trucks that can be handled per hour was determined. For the existing ports, recommendations are provided for future port development decisions. To enhance validity, several trace analyses were conducted during the modelling processes to lower the probability of errors. Visualisation helped to track the behaviour of the simulation model during experiments.

It could be shown that with various measures energy consumption and resulting emissions can be reduced in port terminals. A very good way would be to include modules for measuring and managing energy consumption in the used Terminal Operating System (TOS).

No container terminal could operate without the support of a TOS and the systems currently in use are rather mature and offer various functionalities. However, there is still room for improvement to enhance energy efficiency and hence reduce costs and emissions.

The GREEN EFFORTS catalogue for improvement comprises the following items

- Interoperability (not integration!) with container handling equipment (CHE) tracking system to minimize traveling distances of vehicles and adjust speed of operation ("operation speed kills fuel economy") according to actual needs
- Interoperability with berth planning system to stack containers to minimize re-stacking and movement distances over the whole box stay on the yard, continuously adjusting to changes of estimated time of arrival (ETA) of vessels
- Forecast of cost of resources (container handling equipment , staff, time)

- Real-time availability of information required for decision-making and disturbance-management
- Performance analysis to learn from conducted operation to improve yard and equipment strategy (reverse engineering).

Optimization of yard operation of course depends on working rules. When e.g. there is a "gang" system per crane in place, it is not possible to send a straddle carrier or tractor where it is required most. The enhancement of TOS and the extension of interoperability with related systems usually require early involvement of job stewards and union representatives.

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## Energy supply

Juergen Moser, Yogesh Agarwal, Reiner Buhl, Dania Hasberg, Habtamu Gebrewold, Juergen Sorgenfrei,  
Diana Illing, Werner Bergholz, Alexander Baal

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### List of Abbreviations

°C	Grad Celsius
AGO	Automobile Gas Oil (Diesel AGO)
C	Carbon
CAPEX	Capital Expenditure
CH <sub>4</sub>	Methane
CO <sub>2</sub>	Carbon dioxide
DF	Dual-fuel
EU	European Union
H	Hydrogen
HFO	Heavy Fuel Oil
HSFO	High Sulphur Fuel Oil
HVDC	High Voltage Direct Current
IEC	International Electrotechnical Commission
IEEE	Institute of Electrical and Electronics Engineers
IMO	International Maritime Organization
ISO	International Standards Organization
LNG	Liquid Natural Gas
M	Meter(s)
M <sup>2</sup>	Square Meter(s)
MDO	Marine Diesel Oil (Diesel-like bunker fuel for ships)
NO <sub>x</sub>	Nitrogen oxides
OPS	Onshore power supply
PM	Particulate matter
PV	Photovoltaics
SO <sub>x</sub>	Sulphur oxides

# Introduction

In this chapter regenerative energy has been elaborated in terms of external supply and possibilities to produce on site. Regenerative energy is a concept where the energy that would likely have been a waste (in form of sun, wind, geothermal, tidal, etc.) is reused to minimize the dependency of fossil fuels. It is available in nearly all European states. What is important is that to negotiate with the power suppliers for contracts with increased amount of regenerative power which will decrease directly the emissions of the terminals. Bundling of different consumers to create a common big consumer and building up a local distribution network are the key factors to consider when it is about external supply of regenerative energy. Furthermore, there are several regenerative energies that can be produced onsite and then used in terminals and ports. Different types of regenerative energies are detailed according to technical feasibility, maturity and efficiency.

Exploitation of LNG increased significantly throughout the last decades, is highly supported by the European policy and expected to become a significant market share. Apart from being an alternative fuel for ships LNG can also be used as energy source for electric engines, running turbines, since the potential for electrification of port and terminal processes is considerably high with consideration to Methane that produces considerably lower emissions than other fossil fuels but has a global warming potential about 23 times more than of carbon dioxide. Therefore, a 100% combustion is essential which usually is only possible in gas turbines and not in piston motors.

A vision for an integrated solution of shore based power supply for berthed ships is presented: In a fully electric terminal with regenerative power generation on the terminals wherever possible and a combined cycle power plant onshore fed by LNG/Methane. The surplus of regenerative power, which cannot be utilized, will be transformed to Hydrogen and on to Methane, which can be stored and used for feeding the gas turbine when regenerative production is too weak. Therefore, the question for future is not whether LNG technique or electrical onshore power but an integrated LNG technique and electrical onshore power supply.

The research and findings on energy supply has contributed to the development of comprehensive and intelligent energy demand and supply management at terminals and in ports which shows opportunities of terminals and ports as power supply providers to other (port) industries and to ships berthed.

# 1 External supply of regenerative energies<sup>1</sup>

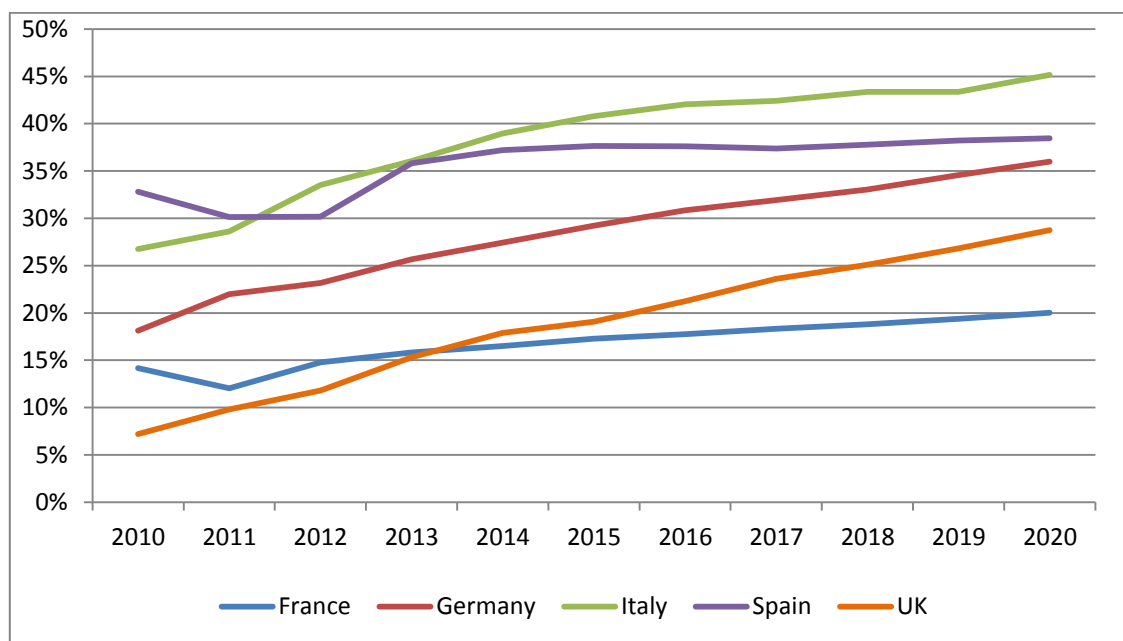
Regenerative energies in this context are only those energies which are directly generated out of renewable sources:

- Hydro power
- Wind power
- Solar power (photovoltaic or concentrated solar power)

Fuels generated from electrical power, like hydrogen or methane out of hydrogen, are not considered. The same applies to stored electrical energy.

Regenerative power is produced all over Europe in different portions depending on the local situation. In mountainous areas hydro power will have more priority, in flat areas wind and especially in southern regions solar power will have more priority.

For five EU-countries the actual amount of regenerative power supply in percentage is recorded as well as the commitment to invest into this technology in the future.



**Regenerative power supply in five EU-countries (Source IHS Global)**

Figure above shows that regenerative power is available in European countries and that this regenerative power offer will increase in future.

The question remains how far individual power consumers are able to negotiate contracts with their power suppliers that include the sole delivery of renewable power or at least a high content of renewable power. As small consumers in comparison to the complete port these small consumers have only limited purchase power against the power suppliers. The idea is that the port bundles (**bundling of power**) all small consumers within its boundaries and negotiates with the power suppliers. With the purchase power of this big amount of power needed it is possible to negotiate contracts for delivery of green power from renewable sources for the same price as the individual small consumers had to pay for "normal" power. Without any change in prices for energy supply the carbon footprint of all consumers in the port can be reduced drastically. But this means on the other hand, that investments have to be taken. The port has to build a substation to bundle all its consumers physically and to build up one common supply point for the utility. The port gets the additional role of the power distributor to his individual consumers. However, this disadvantage can

<sup>1</sup> GREEN EFFORTS Deliverable 6.1 External supply of regenerative energies

turn into an advantage in regard to emission reductions when different consumers start to generate own regenerative power. The production of regenerative energy is fluctuating and often does not match with the power needs of the individual consumers. The port as power distributor can exchange this surplus of power to other consumers, which at other times can deliver back their surplus of generated renewable power. So any surplus of green renewable power can be used inside the port boundaries and in total reduces the overall emissions.

## 2 Production of regenerative energy on site<sup>2</sup>

In order to reduce the carbon footprint of container terminals, the **on-site generation of electrical energy from renewable energy resources** constitutes a significant additional reduction potential, in addition to the strategy to increase the energy efficiency of the consumers of energy.

In ports and terminals, usage of fossil fuels by equipment and excessive reliance on power grids have increased dramatically because of the rise of global trade and with the aging of equipment; leading to the need to deploy alternative measures in form of regenerative energies to counter the effect on environment and tariff bills (fuel and electrical) for the terminals. Thus, regenerative energy is a concept where the energy that would likely have been a waste (in form of sun, wind, geothermal and tidal) is reused to minimize the dependency of fossil fuels. There are several regenerative energies that can be produced onsite and then used in terminals and ports.

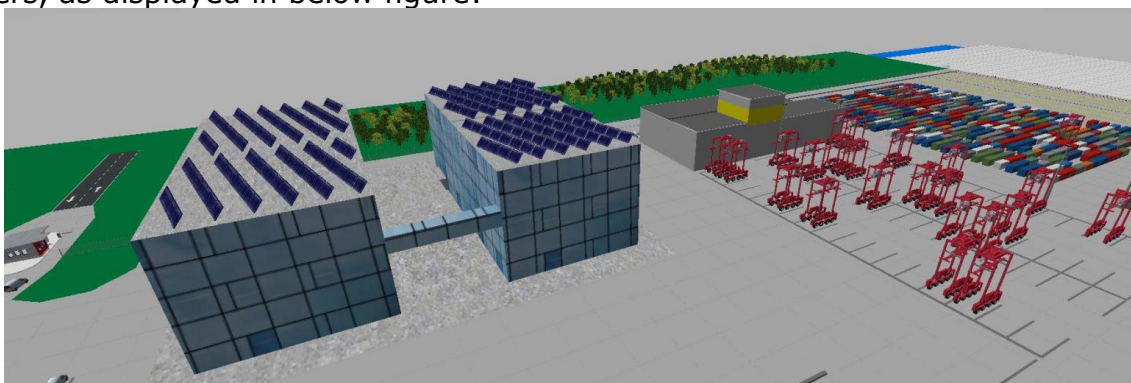
The below given list of regenerative energy sources clearly shows that photovoltaic in areas with sufficient is a must, wind generators are recommended, however there are doubts that it is terminal business, therefore the ports should deal with it. Furthermore biogas can be considered once condition and circumstances allow for a convincing business model.

Brief explanations about these regenerative energy sources are given below:

### Photovoltaic<sup>3</sup>

In terms of ease of installation and maintenance, photovoltaics (PV) are clearly the most convenient way to generate renewable electric energy.

The only available "real estate" for the installation of PV modules is roofs in the terminal, since other locations would be obstacles for container terminal operation. For the virtual reference terminal of the Green EFFORTS project these are, in the first place, the administration buildings and the maintenance building for the straddle carriers, as displayed in below figure:



<sup>2</sup> GREEN EFFORTS Deliverable 6.2

<sup>3</sup> GREEN EFFORTS White paper#1, May 2014

**Admin buildings of the virtual container terminal with PV modules on the roofs. The maintenance building for the straddle carriers is also suitable to a limited extent (modules not shown), since the shadow of the middle elevated roof part puts constrictions on to where PV modules can be installed (total area approx. 12 700 m<sup>2</sup>).**

In addition it is feasible to install roofs over the space for the Reefer containers as seen in below figure.



**The reefer container area (white, approx 6000 m<sup>2</sup>) on the left in this picture is not covered by a roof. Installation of the roof would reduce the electric energy consumption for cooling because the containers would be shaded and additional space for the installation of PV modules would be available. There is also small existing roof (1998m<sup>2</sup>) near the reefer containers (far side of the block of containers)**

PV technology is a convenient option to reduce the carbon footprint of a container terminal. The unique property of Photovoltaics compared to all other renewable energy source is that it is virtually maintenance free and produces electrical energy directly. Furthermore, it is environmentally benign (noise, looks, emissions, energy pay-back time). Moreover, it does not consume any real estate but can be installed on roofs that either exist or would make sense to construct in addition to existing roofs.

The question of choice regarding which of the renewable energy sources is the preferred one on a container terminal has been answered in the sense that due to the reduction in the volatility of the renewable energy generation by using a combination of several renewable energy sources there may be a direct positive financial impact due to peak shaving (high peak power demand is expensive). Also, using more than one source maximizes the reduction of the carbon footprint.

Moreover, due to the decrease of the prices for PV modules and systems and the increase in the prices for electric energy purchased from utility companies it can be safely predicted that the time for return of invest will get shorter and shorter.

## **Wind Energy<sup>4</sup>**

Wind energy is defined as the energy that is obtained from kinetic energy of the wind that moves across the earth's surface. Wind then is converted into usable electrical energy in the wind turbine. The energy of motion (kinetic energy) of the air flow is an indirect form of solar energy and thus belongs to renewable energies. It is now almost exclusively utilized by wind turbines. A rotor is set in rotation by the air flow, which in turn drives a power generator using the rotary axis. The use of wind energy especially in coastal areas and in upland areas has risen steadily in recent years<sup>5</sup>.

Below factors need to be taken into account when deciding to erect a wind power plant on ports' or terminals' premises:

- Port engineering aspects; such as navigation and hydraulic aspects, structural, environmental and operational constraints
- Electrical aspects; such as cabling and connection to the grid
- Economic and financial aspects; such as efficiency of the systems

<sup>4</sup> GREEN EFFORTS White paper#10, December 2013

<sup>5</sup> [www.umweltdatenbank.de](http://www.umweltdatenbank.de)



The advantages of wind energy production within the ports' premises or directly next to it that can be counted include:

- Comparatively strong and regular wind
- Located near areas of industrial concentration, with advantages from the perspective of systems and communications
- Easy delivery and transport of materials to the construction site
- Comparatively few problems such as noise and vibrations<sup>6</sup>.

Already existing wind power plants on port premises can be found in New York / New Jersey, San Diego, San Francisco, Baltimore and Long Beach. Furthermore, Port of Hamburg and Port of Rotterdam cater (at least partly) for themselves with self-produced electricity.

To install wind energy generation capacity in a port or container terminal takes a significant amount of time, planning, knowledge, land, large investments with an ROI of typically 7 years to finalize a wind power plant. In this process, it is essential for port authorities, their tenants and potential stakeholders to cooperate closely. Seeing to the fact that wind is widely available and sea ports are often located at exposed shore side locations, network charges can be saved and the good and green image a wind turbine brings to the premises. It can be concluded that a wind turbine often classifies as a very good investment for ports. It was shown by EUROGATE in Hamburg that installing an onshore wind power plant for own purposes on the terminal pays off and is very future-oriented as well for the port itself as for the society in general.

## **Biogas<sup>7</sup>**

Biogas is produced by the fermentation of organic substances, which can also serve as renewable energy sources. It falls under the category of renewable energy, because it is largely made of renewable materials. Changing from conventional energy sources to energy that is made of renewable sources can be seen as an approachable investment in the future. Biogas is an important pillar of the future energy supply, because it can be stored, used flexibly and is baseload-capable, so it can supply electric energy (and heat) at times when there is neither sun nor wind.

Generally, for the production of biogas a biogas plant is needed. A biogas plant, however, is a very complex and rather individual facility. Therefore, a number of considerations have to be taken into account in order to become a biogas plant operator:

- Type of substrate to be used
- Source of the material (the port itself (i.e. wood used for cargo securing in containers, grass from dykes and other lawn areas), farm, commercial, food industry)
- State of the substrate (liquid/solid)
- The quantity that's planned to be used (unit; i.e. tons per week / year)
- Availability of the substrate (continuous or seasonal)

Main tasks in a port and terminal are to provide space and infrastructure, handle goods, store them temporarily and possibly transport them further or between points of interests on the terminal. But, since ports and terminal operators are economic entities that think in economic principles, they can be interested in becoming a biogas

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<sup>6</sup> [http://www.mlit.go.jp/english/2006/k\\_port\\_and\\_harbors\\_bureau/13\\_windpower/](http://www.mlit.go.jp/english/2006/k_port_and_harbors_bureau/13_windpower/)

<sup>7</sup> GREEN EFFORTS White paper#11, November 2013

plant operator if the circumstances are favourable and cost-effective. If the image is uplifted because of engagement in green technologies, then it is even more favourable. However, it should only be seen as an add-on, not as the core point for decision-making.

## **Geothermal Energy<sup>8</sup>**

Geothermal energy is the energy stored within the different layers of the earth. It occurs in the form of hot rock, hot liquid or in steam form. The idea of geothermal technology is to use this terrestrial heat to generate electric power<sup>9</sup>. Beside the electric power supply, several companies offer systems to use the geothermal energy for heating and cooling of houses and office buildings. The advantage compared to other renewable energy sources is the permanent access to the energy source. An additional benefit of geothermal energy is the independency of weather conditions and day time<sup>10</sup>. Furthermore, the cascading effect can be used. The cascading effect leads to a stream of geothermal hot water or steam to perform successive tasks requiring lower and lower temperatures. Cascading effects in energy environment imply the use of energy in one step and give the energy in a lower level to the next part in the chain. By using this effect the efficiency of energy using system can be improved to a higher percentage<sup>11</sup>.

Geothermal energy usage can be divided into two segments<sup>12</sup>: on the one hand side, the near-surface geothermal energy applications and on the other side the geothermal power plants, which use deeper layers of ground. The main difference of the concepts is determined by the different drilling depths. Analyzing the different systems leads to the conclusion that the high initial investment of deep geothermal power plants makes these systems rather unattractive for a terminal application. Especially, the drilling process has a high impact on the necessary capital for these systems. In contrast, near surface applications might be feasible on a port and terminal environment because of their lower investment cost. The generated energy could be used to heat or cool warehouses and office buildings within a container terminal or parts of the terminal area, as well as railway points.

## **Ocean Energy<sup>13</sup>**

Ocean energy refers to the energy carried by ocean waves, tides, salinity, and ocean temperature differences. The movement of water in the world's oceans creates vast store of kinetic energy.

One of the important considerations, while harnessing ocean energy at terminals, is to ensure avoidance of obstruction in movement of ships. There are several techniques of harnessing tidal and wave power. But most of them are not feasible in terminals because of the large area requirement in case of tidal barrage and lagoons, and also because of creation of obstruction within the terminals. The installation of turbines under water, apart from economics, involves several legal jurisdiction approvals, and special consideration needs to be placed on the impact of marine ecology.

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<sup>8</sup> GREEN EFFORTS White paper#6, October 2013

<sup>9</sup> <http://www.lfu.bayern.de/geologie/geothermie/index.htm>

<sup>10</sup> <http://www.lfu.bayern.de/geologie/geothermie/index.htm>

<sup>11</sup> <http://energyalmanac.ca.gov/renewables/geothermal/types.html>

<sup>12</sup> <http://www.geothermie.de/wissenswelt/geothermie/einstieg-in-die-geothermie.html>

<sup>13</sup> GREEN EFFORTS Deliverable 6.2 Opportunities of regenerative energy production on site

### 3 Exploitation of Liquefied Natural Gas<sup>14</sup>

Bunker fuel, where HSFO (High Sulphur Fuel Oil)/HFO (Heavy Fuel Oil) is used in most cases, is unclean and harmful to the environment. The figure below, gives an impression of emissions from HSFO and Diesel. The data presented on the figure clearly show that HSFO emits considerably more harmful emissions than Diesel does. In terms of sulphur-dioxide regulations by the IMO, Marine Diesel Oil (MDO) has no potential to be used as bunker fuel because the Sulphur pollution is still too high. Comparing all possible bunker fuels, only LNG has the full potential to meet all criteria in terms of reducing maritime air pollution.



**Emission comparison of Diesel (AGO) and HSFO**

Natural gas, the cleanest fossil fuel, is a highly efficient form of energy. It is composed chiefly of methane; the simple chemical composition of natural gas is a molecule of one carbon atom and four hydrogen atoms (CH<sub>4</sub>). When methane is burned completely, the principal products of combustion are carbon dioxide and water vapor. As methane has an effect on global warming 23 times more than of carbon dioxide, a 100% combustion is essential. This is usually possible in gas turbines and not in piston engines. A brief overview of exploitation of LNG is provided below:

#### 3.1 LNG for ships and ports

Natural gas cooled to minus 162°C becomes a liquid and reduces in volume by 600 times. However, the energy density of LNG is just over half that of fuel oil. This is a significant penalty, as in certain ships the space needed to store the fuel reduces available cargo space. The complications of cryogenic storage compound these issues.

Using LNG as a bunker fuel relies on that principle. Boil-off gas is consumed as it develops, but a regasification system is also needed because the amount of fuel the engine needs will exceed boil-off generation, and fuel supply to the engine needs to be controlled. The boil-off gas is used in dual-fuel (DF) engines, in which the engine uses some oil for the pilot and to enhance control of the energy release.

When a vessel is moored, discharging cargo, or at anchor, the amount of the boil-off gas may increase and the tank pressure will rise. Therefore, the tanks need to be rated for the fact that some pressure will develop. The cylindrical, bullet-shaped pressure-rated tanks can store LNG at minus 162°C but can also handle pressure increases up to about 15 bar. Capacity for these tanks, known as IMO Type C, is typically a few hundred cubic meters; the largest feasible size is investigated by Wärtsilä is about 1,500 cubic meters. Therefore, a pair of Type-C tanks installed side

<sup>14</sup> GREEN EFFORTS Deliverable 6.3 Exploitation of Liquefied Natural Gas (LNG) or other gases

by side could store up to 3,000 cubic meters; but this is still inadequate for most oil tankers, bulk carriers, or container ships.

Currently, Type C tanks have been installed in both new ships and in conversions, because they allow a simpler system without the need for cryogenic pumps. Other tank types, such as Type A and Type B, operate at less than 0.7 bar. Because they are not required to hold pressure, they can be designed in prismatic shapes and hence be more space efficient. There is no clear consensus about the use of prismatic tanks, but without acceptance of these type of tanks, use of LNG for larger vessels and longer voyages is unlikely to be economical.

For safety, in case of a collision, LNG tanks must be set further back from the hull edge than for conventional bunker fuels, which restricts tank sizes for some ships. Classification societies also agree that tanks should not be under crew accommodations or impact ship evacuation routes; other design issues include placement of LNG piping through the ship, from the tanks to the engines.

### **3.2 Alternative use of LNG in ports**

LNG has, apart from being used as fuel, the possibility to be used as energy source for electric engines and running turbines. The potential for electrification of port and terminal processes is considerably high. All the infrastructure of existing and planned ports and terminals may take benefit of a low cost energy source, because of a relatively high capital expenditure (CAPEX) for electrified solutions.

Natural gas' advantages over other fuels include the following: it has fewer impurities, it is less chemically complex, and its combustion generally results in less pollution. In most applications, using natural gas produces less of the following substances than oil or coal: carbon dioxide (CO<sub>2</sub>), which is the primary greenhouse gas; sulphur dioxide, which is the primary precursor of acid rain; nitrogen oxides, which is the primary precursor of smog; and particulate matter, which can affect health and visibility; than oil or coal.

## **4 Shore-based power supply<sup>15</sup>**

A ship also needs a considerable amount of energy for several functions on board, amongst others for lighting, maintaining on-board appliances like computers, navigation and communication equipment, etc. Cruise vessels need by far the highest amount of energy to supply on-board appliances for the large number of passengers who spend their holidays on board of a cruise ship and demand all the comfort that upper class hotels usually provide.

Currently it is common practice to generate the energy demanded by the vessel with on-board diesel generators. Vessels which produce their energy with on-board diesel generators using Low Sulphur Fuel Oil (LSFO) emit remarkable amount of different gases, especially when considered that they are multiplied with the large power requirements some vessels need, particularly container, especially reefers, and cruise ships.

To enhance the efforts of lowering emissions in ports, the vessels should preferably be supplied with the lowest-emission power that is available. Clearly, this power could be generated with renewable energy resources, like wind, solar power, etc. A second best possibility with regard to emissions is the use of onshore power supply fed from conventional sources because local emissions in the harbour are cut to zero. The third

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<sup>15</sup> GREEN EFFORTS Deliverable 6.4 Shore based power supply for berthed ships

best solution in regard to emissions only could be the use of fuels with fewer emissions in the harbour. Hence LNG can be a possible energy source.

The strongest incentive for installing onshore power supply (OPS) is to reduce the costs that occur to the shipping companies. The price gap between electricity and low sulphur fuel is therefore crucial. Onshore power supply is also a very political topic. The interest of social welfare, which is lower emissions of NO<sub>x</sub>, SO<sub>x</sub>, CO<sub>2</sub> and PM, can clearly conflict with the interests of shipping companies that seek to lowest costs wherever possible.

On the other side, investments costs of OPS depend very strongly on the individual conditions of an onshore power supply arrangement. Consequently there is no general value for an onshore power supply. An example of an average onshore power supply is calculated and the result showed a return on investment between 3 and 7 years.

On the industry side, there is widespread acceptance of OPS. As mentioned above, the acceptance of the industry depends on the cost savings OPS can generate compared to conventional fuels. Currently, there are number of ports providing OPS for all types of vessels, e.g. Gothenburg, Antwerp, Long Beach, Los Angeles, Trelleborg, Vancouver, Zeebrugge among others. Many more ports are considering installing OPS, e.g. Amsterdam, Barcelona, Bergen, Hong Kong, Houston, Kaohsiung, Le Havre, Tallinn, Tokyo, Yokohama among others. Last but not least, there are currently more than 25 shipping lines which use OPS and the trend is upwards. More than 300 ships with OPS are in operation or currently on order.

When it comes to connection standards, the onshore power supply system is standardized in IEC, ISO and IEEE 80005-1, which is in force since August 2012. Plugs and sockets for the onshore power supply are regulated in IEC 62613-2, which exactly states, how the plugs and sockets shall look like.

Energy loss is an important aspect to consider as well. Energy losses of the energy transmission vary widely. It depends on the voltage of the transmission line and on the power transmitted. Overhead lines have smaller losses than cables and each transformer which is located between energy producer and energy consumer has additional losses. If offshore wind parks are taken into consideration the losses of the power lines are nearly negligible due to the small distances of the receiving point onshore and the port. Taking into consideration the conversion losses of the power electronic converters which are necessary for High Voltage Direct Current (HVDC), the complete losses can be estimated with 2 to 3 % from wind park to onshore power supply. If frequency conversion is necessary for the onshore power supply additional losses occur. The frequency converter itself has losses of about 4 to 5%. With frequency conversion the total losses sum up to 6 to 8%.

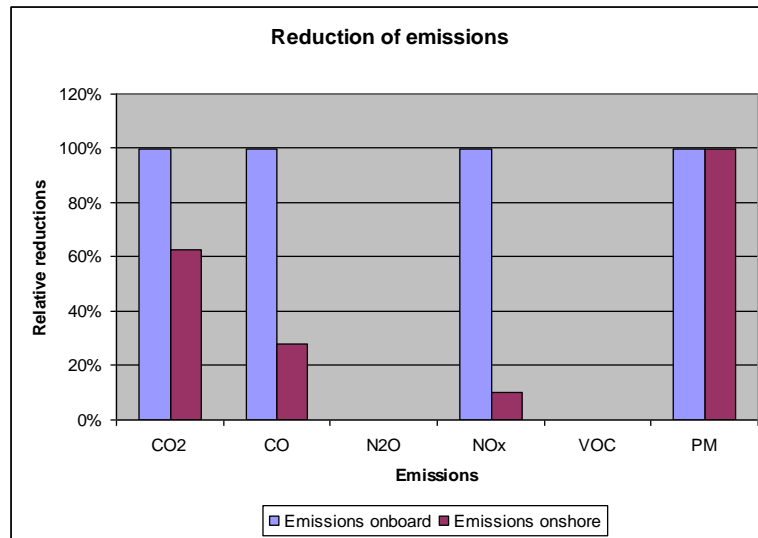
## **4.1 Onshore electrical power supply**

Electrical power is fed to the vessel with a cable. Though this method requires certain safety measures (like other methods as well) it has the significant advantage that the power supplied can be generated from several energy sources, for example regenerative power.

The advantage of electrical onshore power supply compared to LNG is that emissions in the harbour are decreased to zero (see figure below). On-board generators are completely shut down during lay days. In addition to zero emissions the harbour vicinity gains:

- Zero noise from on-board generators
- Zero vibrations from on-board generators

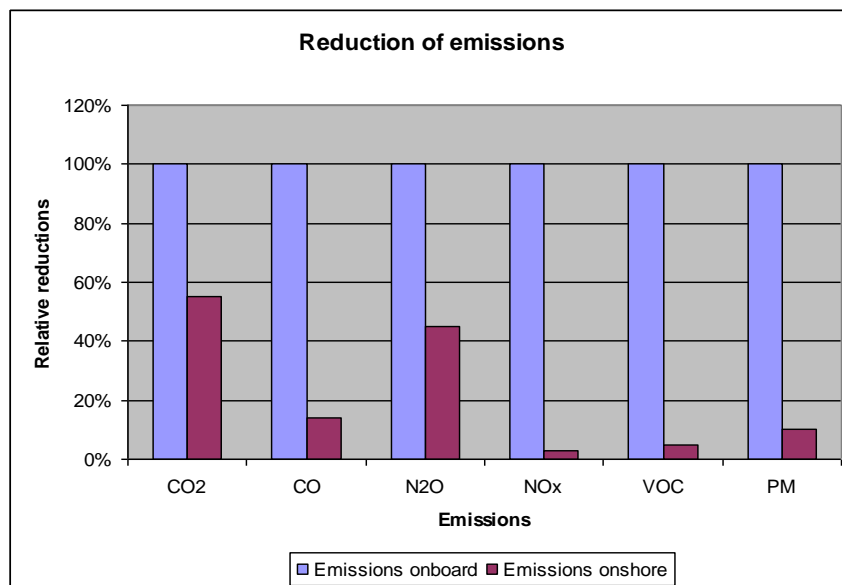
- People working in the harbour area or living in the vicinity of a harbour are no longer exposed to unhealthy or toxic gases which will increase the state of health in harbour cities and on the other hand decrease costs related to health care in that regions.



**Emission reductions using onshore power supply compared to LNG**  
**Source: ENTEC, Siemens**

The power consumed on-board a vessel must be produced somewhere onshore. This will definitively generate emissions since "green power" is not yet established in whole Europe. However, still the emissions from onshore power supplies are generally lower than those from on-board production. This can be seen in the below figure. The reason for that is that power generation onshore

- is done in larger power units with higher efficiency than the small units on-board
- uses a different fuel burning process than on-board generators
- has enough space to utilize considerably waste gas treatment
- utilizes in addition emission free power sources like water, solar or wind
- in some countries is produced by nuclear power plants, which have no gaseous emissions



**Emission reductions using onshore power supply compared to diesel**  
**Source: ENTEC, Siemens**

The advantage of utilising LNG as an energy source which is primarily methane (CH<sub>4</sub>) is that it has the highest hydrogen (H) to carbon (C) ratio compared to other fuels. When methane is burned the hydrogen part produces energy without emitting CO<sub>2</sub>. Due to this fact the CO<sub>2</sub> emissions are reduced by 12% compared to diesel and by 25% compared to petrol.

However, LNG driven engines emit unburned methane, a greenhouse gas, which is 25 times as climate-damaging as CO<sub>2</sub>. The emission of this unburned methane is called methane slip.

Gas turbines rather than piston engines can overcome the technical problem of the methane slip. The problem of flame extinguishing does not exist in gas turbines like in piston engines. Gas turbines for electricity generation offer a convenient back-up solution for periods where no energy from renewable sources (wind, solar, water) is available. More information on the amount of methane slip in different engine types can be found in D 6.4.

If onshore power is completely generated from renewable sources all emission reductions can be increased to 100%.

## **4.2 Vision: The integrated solution**

In our research it is found out that it is reasonable to give the highest priority to electric power produced from renewable sources which allows to develop a vision of an integrated energy concept for the port that is not only applicable for the energy supply to berthed ships but also to nearly all other electrical applications in the port like lighting, automated guided vehicles, cranes, etc. This concept can be summarized as below.

### Integrated energy concept for the port

- 1) Wind energy is generated by the wind park, which is directly connected to the local port grid.
- 2) Surplus electricity, which is not used at times of production is saved in batteries and is conducted to the port located power to gas facility. This surplus electricity is converted into gas, preferably methane because of the possibility of unlimited feeding-in into the local gas grid and the port located LNG infrastructure
- 3) The port located gas grid is connected to the regional gas grid, hence full flexibility in satisfying internal and external demand of methane is given.
- 4) LNG storage tanks are provided with LNG by LNG tankers.
- 5) In times of no electricity supply by the renewables (wind, solar, tidal, etc.) the port located small / medium scale gas turbine power station produces the electricity
- 6) Batteries can provide an additional backup for an undisturbed electricity supply.
- 7) The electric power produced by the port located energy generation system is used to supply the berthing ships with the energy needed to maintain onboard applications.

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# Energy management of terminals and ports

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## **LIST OF ABBREVIATIONS / GLOSSARY**

AGV	Automated Guided Vehicle
CCHP	Combined Cooling, Heating, and Power
CHP	Combined Heat and Power
CPP	Critical Peak Pricing
DEMS	Decentralized Energy Management Systems
DLC	Direct Load Control
DPLS	Dynamic Power-based Load Shedding
DR	Demand Response
DRMS	Demand Response Management System
FBLS	Frequency-based Load Shedding
FPLS	Fast Power-based Load Shedding
HP	Horse Power
ICT	Information and Communication Technology
IL	Interruptible Load
MDM	Meter Data Management
MSC	Mechanically switched capacitors
PEMA	Port Equipment Manufacturers Association
PV	Photovoltaic
RTP	Real-Time Pricing
PTR	Peak Time Rebate
RTG	Rubber Tyred Gantry
STATCOM	Static Synchronous Compensator
SVC	Static VAR Compensators
TOU	Time-of-Use
WT	Wind Turbines
VPP	Virtual Power Plant

## **Executive summary**

This chapter summarizes the whole work with the focus on energy management and smart grid for terminals and ports, and gives an outlook on the future research work on developing green smart terminals and ports.

# 1 Power factor correction options for harbour terminals

Analysis of measurement data<sup>1</sup> has revealed that in a typical harbour terminal, reefer units have led to almost half of the terminal's reactive power consumption, with STS cranes ranking just behind it. Under low load condition, terminal power factor falls in general between 0.65 and 0.8; whereas under high load condition, the lower bound of terminal power factor deteriorates down to 0.5 in extreme cases. This overall power factor value range of harbour terminals obviously falls below general utility expectation of 0.8 or higher with regard to industrial and commercial customers. Therefore, onsite reactive power compensation should be deployed to minimize potential penalty charges from utility side.

Two general reactive power compensation approaches are available for harbour terminals, namely dedicated compensation and 'complimentary' compensation. With the dedicated option, harbour operators must purchase and install standalone devices to improve terminal power factor; whereas the 'complimentary' option allows harbour operators to control the reactive power output of power conversion devices (i.e. inverters or converters) found in various electric appliances, which are already installed to server other purposes in terms of active power usage.

Dedicated reactive power compensation measures can be roughly classified into three categories, namely: mechanical switched devices, thyristor switched devices, and VSI (voltage source inverter) based devices. As harbour terminals are typically in need of capacitive reactive power, the most suitable compensation options under these three categories are respectively MSC (mechanically switched capacitors), SVC (static VAR compensators), and STATCOM (static synchronous compensator) solutions. The MSC option proves to be the most economic one despite its limited controllability and low response speed, whereas both SVC and STATCOM could serve as fast-acting compensators to counteract short-term reactive power fluctuations.

The 'complimentary' source of reactive power compensation in harbour terminals can be generally obtained from the power conversion devices found with shore-to-ship power supplies, battery storage devices, as well as full-converter type of wind turbines (WT) and photovoltaic (PV) generation units. As these modern DC / AC and AC / AC converters have the built-in capability of decoupling the control of active power and reactive power on AC line side, the reactive power output of these converters can be separately regulated to compensate for the needs of other terminal loads. Restrictions do arise, however, from both apparent power ratings of the inverters / converters and the upper-limit and lower-limit of interface voltage. This means the reactive power delivery capability of these 'complimentary' compensation devices are dependent—to a certain degree—on both simultaneous active power output and system voltage.

Considering the pros and cons of both dedicated and 'complimentary' power factor correction measures, the most appropriate solution for harbour terminals is probably a hybrid approach. By deploying MSC to cover 'base load' part of total reactive power demand and resorting to ship-to-shore / storage / WT / PV converters for fast compensation of peak reactive power consumption, both economics and technical performance of the solution can be optimized to the maximum extent.

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<sup>1</sup> GREEN EFFORTS Deliverable 7.1 Maximizing of power factor for terminals

## 2 Load shedding measures for harbour terminals

Although load shedding schemes can be adopted to address a wide range of issues for both utilities and industries alike, their most reasonable application use case under a harbour terminal context is peak shaving due to the economic potentials of arriving at a demand response (DR) agreement between utility and harbour sides. Here the term peak shaving refers to constraint of peak demands for only prescribed periods in critical days via means of real-time load shedding, as opposed to energy efficiency measures, which can be seen as a one-time equipment upgrade or overhaul effort that leads to persistent load reduction credits without subsequent interference needs.

The filtering-down of potential load shedding targets in harbour terminals has been performed on the basis of a real-time load composition study<sup>2</sup>, which identifies reefers and (office) air conditioners as the most promising candidates for load shaving programs. STS and RTG cranes have been excluded mainly due to potential safety hazards and logistic disorders that may occur as a consequence of sudden interruption of crane operation. UPS-interfaced office loads are generally not recommended for load shedding as frequent discharge of battery would easily lead to premature ageing of the UPS equipment. Both yard lightings and office fridges are good load shaving candidates, although their contributions to overall harbour load peak are normally too small to make any visible impact.

In general, automatic load shedding schemes can be categorized into three types: frequency-based load shedding (FBLS), dynamic power-based load shedding (DPLS), and fast power-based load shedding (FPLS). FBLS solutions are normally adopted by industry consumers that have either no connection to utility grid or choose to run in islanded mode with onsite generation, in which case shedding of non-critical loads in a timely manner as soon as frequency sags below a defined limit is the most effective measure for maintaining system stability. The DPLS solutions are normally initiated by utilities rather than end consumers, where the utility sets a certain threshold on an industrial client's allocated spinning reserve (i.e. idling capacity from hot standby units or rotating generators that are not working at full output level)—when it is breached, certain power appliances at the customer's site will be automatically shut down with remote control from utility side. Finally, the FPLS solution stands out as the most appropriate choice for peak shaving applications, as it directly adopts local power consumption level as the main load shedding decision criteria—i.e., once a certain upper threshold of electric usage is reached, a few pre-arranged electric loads or power consumption devices will be shut down under a prescribed order.

Demand response (DR) can be seen as a special case of peak shaving application with strong focus on the business case realization towards a win-win situation for both utility and end consumer. According to tariff design, DR programs can be categorized into six major forms: TOU (Time-of-Use), CPP (Critical Peak Pricing), PTR (Peak Time Rebate), RTP (Real-Time Pricing), DLC (Direct Load Control), and IL (Interruptible Load). In order to maximize DR appeal to harbour operators, hybrid programs such as TOU + CPP and CPP + PTR are normally recommended, which are normally enabled by aggregation platforms such as a demand response management system (DRMS).

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<sup>2</sup> GREEN EFFORTS Deliverable 7.2 Load shedding for terminals

### **3 Smart grid applications for harbour terminals**

Smart grid in general refers to the practice of integrating modern ICT (information and communication technology) and automation solutions into power systems to enhance operation reliability, economics, and sustainability etc. Specifically, in most EU nations, smart grid concept is closely linked to the improvement of power grids' capability for hosting intermittent renewable resources (e.g. PV and WT), for which new power generation and delivery paradigms such as Microgrid and virtual power plant (VPP) have been devised to resolve potential problem associated with renewable resources via adoption of energy storage devices and intelligent dispatch programs.

Under the context of a harbour terminal, the deployment of smart grid technology can be explained by three major aspects, namely: installation of onsite generation and storage devices, adoption of new communication and automation measures, and finally optimal management of all active resources in the grid.

Firstly, the unique geographic setting and logistic demand of a harbour terminal have excluded a lot of onsite renewable generation possibilities, save for PV and WT technologies. Conversion of retired diesel engines into onsite CHP (combined heat and power) or CCHP (combined cooling, heating, and power) units could potentially help harbours to reduce its carbon footprint even further, but their practical applicability are generally constrained by limited onsite heating demand (for CHP) and immature technology status quo (for CCHP). As for electric storage, the most meaningful use case in harbour terminals—under both short-term and long-term time settings—is the power balancing application, which achieves peak shaving and valley filling in the mean time as an attempt to 'flatten' demand curve. Supercaps and Li-Ion / flow batteries are respectively the best technology choices for short-term balancing tasks and long-term demand 'flattening' tasks.

The successful transition of a traditional harbour terminal toward an energy efficient, 'smart' paradigm will rely heavily on the implementation of one or more of the following three aspects to form a backbone information system, namely: substation automation, advanced meter data management system, and condition monitoring. The advancement of substation automation allows more intelligent control at local sub-station level such as fast load shedding and short-term energy balancing tasks, as well as the merging of local protection system and control system into one integral platform. A meter data management (MDM) system, on the other hand, plays the key role of aggregating individual energy consumption devices into a transparent and manageable information system. Finally, condition monitoring provides utility or consumer access to the operating status of not only generation or load devices, but also local distribution equipments.

For an intelligent harbour terminal, a decentralized energy management systems (DEMS) is normally needed to control local generation, storage and demand in an optimized manner. A DEMS typically comprise of three core functionalities: forecasting of generation and non-controllable demand, planning of optimized unit commitment schedule, as well as real-time monitoring and control of available resources during operation. The forecasting module provides load and renewable generation forecast on the basis of weather information acquired either from a remote service or local measurement data. The unit commitment module will decide switching states and operating points of local generators by committing them at increasing cost until generation cost reaches market price (i.e. until any MWh produced more will decrease profit). Finally, the real time supervision module runs cyclically in online mode to ensure minimum deviation of all controlled devices' working status from signalled control targets—in case large deviations do occur, spontaneous re-dispatch will be carried out to reallocate available resources to counteract any potential problems.

A scenario-based smart grid benefit analysis has also been performed to quantify potential technical, economic and environmental credits of a paradigm shift towards 'smart' harbour terminals<sup>3</sup>. The 'smart' transition efforts consist primarily of two aspects: power-based peak shaving measures and energy-based onsite generation measures. The peak shaving measures are supposed to be covered by installation of a battery storage unit (rather than load shedding due to the latter option's limited effectiveness), whereas the onsite generation measures mainly refer to self-owned WT and / or PV generation assets (CHP units are not included in simulation due to negligible electrical rating in ratio to overall harbour demand). Both communication / information architectures and online decentralized resource dispatch systems are assumed to be in place so as to enable smart grid control possibilities.

Based on the analysis of active power consumption data, a cascaded series of smart grid scenarios have been proposed based on variations of four sensitivity factors, namely: (1) generation configuration, (2) storage dimension, (3) weather condition, and (4) economic assumption. The generation configuration parameter consists of four scenarios, which covers the potential cases when there are (1) no PV or WT, (2) only WT, (3) only PV, and (4) both PV and WT. The storage dimension parameter considers four stages of power- and energy-ratings ranging from zero to highest values that could cover more than two hours of peak terminal demand. The weather condition parameter decides, for daily simulations, the output levels and curve shapes of WT and PV generation—three options are made available as high output, mid output, and low output. Finally, the economic assumption parameter covers both energy-and power-tariffs from utility side, and the installation costs of generation and storage assets; again three variations have been proposed respectively as optimistic (high utility tariff and low installation cost), medium, and pessimistic (low utility tariff and high installation cost) as input for analysis.

The evaluation of terminal smart grid performance for each examined scenario starts from an energy balance simulation that takes daily load and generation profiles as input data and delivers both storage operation patterns and a 'flattened' terminal demand profile as output after applying a peak shaving and valley filling algorithm. PV / WT size and type have been revealed by this step to shed critical impact over the peak shaving effectiveness of storage units. Then economic and environmental evaluations can be performed by comparing the RES- and storage-modified terminal demand to the original one, where avoided capacity- and energy-charges and reduced CO<sub>2</sub> emission levels can be respectively identified as economic and environmental credits of smart grid implementation. Study results show that medium cost levels have already lead to positive business cases, and maximized generation scenario with both PV and WT leads to highest emission reduction credits for the harbour terminal.

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<sup>3</sup> GREEN EFFORTS Deliverable 7.3 Smart grid technology for terminals and ports



## **4 Additional potentials and prospects of harbour energy management**

The potentials of energy management in harbour terminals have been discussed as a standalone issue viewed from a power system perspective, focusing primarily on the status quo and imminent electrical consumption needs of most harbour terminals in Europe. This approach has exhibited conspicuous advantages in terms of exploring detailed aspects of the (electrical) energy management topic such as reactive power compensation, load shedding, and the deployment of smart grid technologies etc. However, a major opportunity of inter-disciplinary integration of electrical energy management and port logistic management systems has been largely overlooked so far.

As the operators of modern harbours are mostly already working with a port management system that monitors and remotely controls the operations of cranes, trucks, and even berthing and departing actions of ships, the least-cost way of implementing a localized energy management system would be naturally to build the energy management functions on the basis of existing ICT architectures from the port management system. In addition to this sharing of information and communication platform, the merging of both systems can also facilitate fast transfer of measurement data and control commands between logistic and electrical sub-systems, thereby creating new prospects for optimal management of both landside and maritime processes and tasks.

Firstly, when viewed from the landside perspective, a local energy management system can potentially optimize the battery swap and charging operation patterns of a fully-automated container transportation system, in which battery-driven automated guided vehicles (AGV) completely replace the role of traditional trucks or short-distance trains for transferring containers between quay-side operational area and the terminal stacking yard. In this case, the charging process of swapped-out AGV batteries can be potentially controlled to compensate for sudden load peaks from (power) grid-connected STS (ship-to-shore) and RTG (rubber tyred gantry) cranes, thereby fulfilling the role of an energy storage device without dedicated investment. Of course, successful implementation of this operation mode relies heavily on the timely transfer of logistic information to the energy management system, as well as a solid understanding of the operation routines of both cranes and container vehicles.

On the other hand, the sharing of logistic and electrical information can also lead to significant performance enhancements for maritime operations. For example, as STS and RTG cranes contribute to a significant proportion of instantaneous terminal load, a most economic way of minimizing this type of load spikes is to 'interleave' the operation patterns of multiple cranes, such that the next crane always starts hoisting operation as soon as the previous one begins to lower down its container unit, thereby creating a partial cancel-off effect while ensuring that no more than two cranes with opposite vertical movement directions are in operation at any time. In addition, the integration of type and size information from berthed ships into energy management system will serve as the main (electric) load estimation criteria when a shore-to-ship power connection is established to replace onboard diesel power generation units.

Finally, it should be noted that the quantitative modelling and analysis performed have been considerably simplified due to limited availability of measurement data in terms of source diversification, time resolution, and content coverage. This means more comprehensive studies could be performed in future when, for example, measurement data from a large assortment of different types of harbour terminals are made available, such that the 'typical' harbour load composition can be extended to a large number of representative cases corresponding to salient regional and

geographical features. Similarly, the energy balancing study can also be extended from the current long-term scope (15-min resolution) to short-term scope (smaller than 1-s resolution) for supercap design, if load data in corresponding time frame can be obtained beforehand. Finally, the outcomes could also be collectively exemplified in one application case if a representative harbour distribution network can be built on the basis of realistic data, thereby illustrating the impacts of reactive power compensation, load shedding, and smart grid technology deployment by a series of load flow calculations. Last but not least, all these possibilities could serve as very good starting points for future research work towards developing and realizing green smart terminals & ports.

## **References**

GREEN EFFORTS April 2014, Deliverable 7.1: Maximizing of power factor for terminals

GREEN EFFORTS, April 2014 Deliverable 7.2: Load shedding for terminals

GREEN EFFORTS, April 2014, Deliverable 7.3: Smart grid technology for terminals and ports

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## Context to Transport Chain

Diana Illing, Lorenz Kleist, Julia Pahl

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## **List of Abbreviations**

GHG Greenhouse Gas

# Introduction

Although many standards and guidelines for carbon footprint calculations exist, special guidelines transport hubs like ports are missing. A process related approach is introduced and discussed why it is considered to be the most favourable and the most practical way for doing carbon footprint calculations in ports. It is distinguished between emissions that are climate-relevant under the Greenhouse Gas (GHG) Protocol and other air pollutants and has come up with an approach suggestion for each of the emission kinds. It is suggested to use a process-related approach for carbon footprint calculations and a separate, area-related approach, for all harmful emissions relevant in ports and transportation in general.

Whilst the main focus of GREEN EFFORTS is the energy efficiency of ports and terminals, transport sector has also been investigated to enable comparison of energy management. A comparison between ports and airport has shown that there are many similarities in activities undertaken as well as some differences in the consumption areas. Although every transportation mode has its specific characteristics which can lead to very specific technical solutions for each mode, some of the energy efficiency and emission saving measures are common in most modes of transportation. Prominent examples are hydrogen-powered vehicles and e-mobility, recuperation technics, the use of electricity from renewable energy sources like wind energy and photovoltaic or the introduction of environmental zones where stricter emission regulations apply. It can be said that a broad implementation of these measures will help to effectively reduce transport-related emissions at reasonable costs<sup>1</sup>.

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<sup>1</sup> GREEN EFFORTS Deliverable 8.3 Comparison of energy management in transport in general and in the port sector

## **1. What matters for the port<sup>2</sup>**

The Carbon Footprint is a worldwide known and the most widely used measure to identify impacts on the climate and environment due to human actions. It contains the measurement of climate relevant greenhouse gases, like CO<sub>2</sub>, methane and others.

Two kinds of air pollutions are directly interesting for the port and its processes. On the one side the carbon footprint is an issue for ports like it is for any other business. How the carbon footprint for ports can be calculated is currently not finally decided. Some open questions such as defining limits of port processes or geographical borders are still to be solved. Emissions that are included in carbon footprint calculations have a global impact on the greenhouse gas (GHG) effect independently of where they occur.

On the other hand other air pollutants that result from the port businesses and processes and that have an local effect are of interest as ports are very often located in densely populated areas, e.g. close to cities. As many of the air pollutants have a harmful effect on human health and the environment, efforts exist to minimize these emissions. Therefore, the local emissions of these substances are of interest and have to be measured.

As explained above the measurement of GHG emissions and the measurement of other air pollutants that are especially of local interest are two different concepts. It is suggested to apply two separate approaches that account for the differences in the concepts, namely a process-related approach for carbon footprint calculations and a separate, area-related approach for all harmful emissions relevant in ports and transportation in general.

## **2. Energy Management in Transport Sectors<sup>3</sup>**

While GREEN EFFORTS aimed at exploring the reduction of energy consumption and improving a clear energy mix in seaports and terminals, it has also been investigated how the energy efficiency issue is being tackled by transport sector other than ports. The results have been compared to other fields of interest, e.g., airport energy reduction measurements.

These results show that there are many similarities of activities undertaken in the ports and terminal sector as well as the airport sector. For instance, the replacement of equipment driven by diesel with equipment running on electricity or hybrid systems is an issue taken into account by both sectors.

Differences in activities are mainly due to the infrastructure of airports including large airport terminals that need cooling/heating systems. These are very energy intensive. Therefore, the main focus of energy management activities of airports is in improving the technological infrastructure of buildings. This includes the production of energy as well rendering airport terminal building energy independent creating autonomous, intrinsic systems.

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<sup>2</sup> GREEN EFFORTS Deliverable 8.1 Transfer (interfaces) of carbon footprint responsibility between terminal, port and transport modes

<sup>3</sup> GREEN EFFORTS Deliverable 8.2 Energy Management in Transport Sectors other than Ports

## **References**

GREEN EFFORTS, Jul 2014, Deliverable 8.1: Transfer (interfaces) of carbon footprint responsibility between terminal, port and transport modes

GREEN EFFORTS, Aug 2014, Deliverable 8.2: Energy Management in Transport Sectors other than Ports

GREEN EFFORTS, Jul 2014, Deliverable 8.3: Comparison of energy management in transport in general and in the port sector

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## Vision of Energy-Efficient Terminals

LORENZ KLEIST, REINER BUHL, SVENJA TOETER, JENS FROESE

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## **List of Abbreviations**

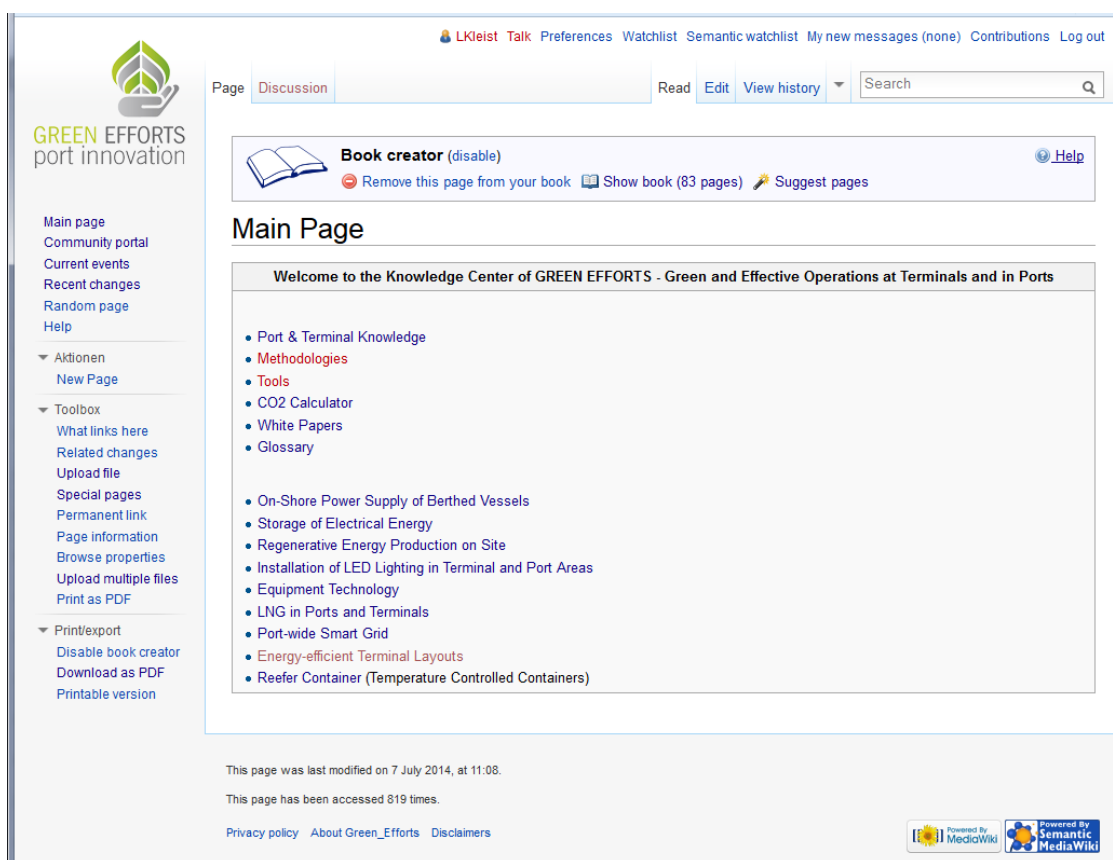
PTKL            Port and Terminal Knowledge Landscape

# 1. GREEN EFFORTS Wiki

The GREEN EFFORTS Wiki provides easy access to key results of the GREEN EFFORTS project. In addition to quantitative data it contains elucidations and graphics on the topics and port elements covered in order to require only a minimum of background knowledge for the interested reader. As a Wiki, it allows also to dig deeper into specific topics by following the links to more detailed information. The Wiki also includes the GREEN EFFORTS Glossary hosted at the Green Efforts Homepage ([www.green-efforts.eu](http://www.green-efforts.eu)).

A wiki is in principal a dynamic tool to provide content. GREEN EFFORTS Wiki allows thus for the option to be extended to include more topics and details in the framework of possible future projects.

The following graphic is a screen shot of the main page of the Wiki created. The Wiki is a living document that is extended as new project results are delivered.



Screenshot of the Main Page of the GREEN EFFORTS Wiki developed for D10.1

## 2. Port and Terminal Knowledge Landscape (PTKL)

The purpose of PTKL is to ease the access to project research results related to terminals. Visualization is used as tool to enable non-experts to understand research results and to reach broader audiences. The visualization bases on a 3D scene model. The file format is very flexible and provides many possibilities for extended developments and interaction with the model. One of three options is the usage of a standard PC mouse, a so called space mouse or a touch screen. The model is presented using Instant Reality player. The 3D scene model consists of numerous objects which are combined to represent the newly planned inland navigation container terminal of Riesa (Germany), a planned sea port container terminal and the

roll-on-roll-off terminal of Trelleborg (Sweden). An exemplary view of the existing 3D scene model is provided the below Figures.



Container terminal and Trelleborg RoRo terminal overview



Inland navigation terminal Riesa overview



A straddle carrier container terminal with information boxes

### 3. Vision of an energy-efficient terminal (Video)

The key issue of the visualisation of project achievements is to provide quick and easy understanding by visualization without blurring the perception by too much detailed information but also not hiding these once an interested party wants to dig deeper.

Video of 'GREEN EFFORTS Overview and Outcomes' serves the above mentioned purpose and can be found under below given links:

<https://www.youtube.com/watch?v=mISQ57wpbU4&feature=youtu.be>

<http://green-efforts.eu/>

#### References

GREEN EFFORTS, Feb 2014, Deliverable 10.1: Visualisation of project achievements

GREEN EFFORTS, Sep 2014, Deliverable 10.2: Visualisation of reasonable future solutions

GREEN EFFORTS, Sep 2014, Deliverable 10.3: Vision of an energy-efficient terminal



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Recommendations for Standardisation  
*Calculation and Declaration of Energy Consumption and GHG  
Emissions on Terminals*

Jens Froese

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## Definitions and Abbreviations

CNG	compressed natural gas (methane, CH <sub>4</sub> )
CO <sub>2</sub> -eq	carbon dioxide equivalent, a metric measure to compare the emissions from various greenhouse gases on the basis of their global-warming potential (GWP) by converting amounts of other gases to the equivalent amount of carbon dioxide with the same global warming potential, commonly expressed as million metric tonnes of carbon dioxide equivalents, abbreviated as MMTCDE
CEN	European Committee for Standardization
CHE	container handling equipment
EEI	energy efficiency indicator (according to ISO 50001)
Empty	pl. empties, terminal jargon for empty containers
GHG	greenhouse gases, contributing to global warming according to the Kyoto Protocol (1997), are the non-fluorinated gases carbon dioxide (CO <sub>2</sub> ), methane (CH <sub>4</sub> ) and nitrous oxide (N <sub>2</sub> O) and the fluorinated gases hydrofluorocarbons (HFCs), perfluorocarbons (PFCs) and sulphur hexafluoride (SF <sub>6</sub> )
GWP	global warming potential of greenhouse gases
IMO	International Maritime Organisation, an entity of the United Nations
KPI	key performance indicator
LNG	liquid natural gas (methane, CH <sub>4</sub> )
PM	particulate matter, microscopic carcinogen solid or liquid matter suspended in the earth's atmosphere
Reefer	refrigerated container to carry temperature controlled cargo
RMS	reefer monitoring system
SME	small and medium enterprise
Spreader	device to lift containers by container handling equipment such as ship-to-shore cranes or straddle carriers
TEU	twenty foot equivalent unit (20' standard container), a 40' container equals 2 TEU
TEU-factor	the ratio of TEU to number of containers showing the size split between 20' and 40'/45' containers, depending on trade. For European container terminals currently around 1,62
TOS	terminal operating system, software tool to organize yard operations

## **Abstract**

Current standards applicable for transport provide guidance to capture and report carbon dioxide emissions on a global level. Recent development aims at product-based allocation of emissions as described in CEN EN 16258 [CEN 16258] but restricted to carriers. In a next step transshipment centres shall become included.

GREEN EFFORTS developed a methodology for sea and inland navigation terminals characterised by a top-down approach from total terminal emissions to product level, hence using only real data, no default data, and by integrating the management of energy-efficiency and mitigation of emissions. An example for a container terminal elucidates the approach.

## **1. Introduction**

Numerous standards, guidelines and recommendations aim at energy efficiency and carbon footprint mitigation. The purpose of this paper is to recommend an approach to comprehensively capture and report energy consumption and CO<sub>2</sub>-eq emissions and to allocate the results to a single "product". To prevent from becoming too bulky, referenced standards are not explained and must be viewed separately.

Considering the total carbon dioxide emissions of terminals the established and proven GHG Protocol [GHG 2004] and the standard ISO 14064 [ISO 14064] provide adequate guidance to capture and report the total carbon footprint of a terminal. Allocation of total emissions to "products", i.e. the cargo handled, however is currently not covered by a standard. CEN EN 16258 "Methodology for calculation and declaration of energy consumption and GHG emissions of transport services (freight and passengers)" [CEN 16258] provides guidelines for transport carriers such as trucks, ships, railways and airplanes resulting in a carbon footprint figure per consignment. Within the introduction to CEN EN 16258 it is stated:

„It is anticipated that future editions of the standard may have a broader scope boundary, to include additional aspects such as, transport terminals, transshipment activities, and other phases of the lifecycle.“

This paper aims at contributing to further standard development covering allocation of terminal emission to product level as it is required by CEN EN 16258 [CEN 16258] for transport carriers and announced to come for transshipment centres.



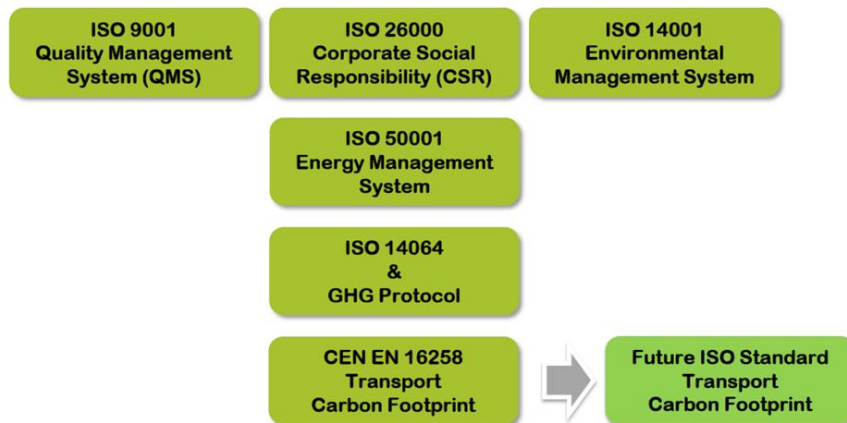
## **2. Terminal Boundaries**

This paper covers the transshipment operation at a terminal from entrance of cargo to the yard until it leaves the ground again. The entrance and departure is to be effected from land via railway or truck or from water via deep sea vessel, feeder vessel or inland navigation barge. As a consequence, emissions from vessels berthed alongside terminal quays is not included in the calculation of terminals. They are part of the sea transportation element within the transport chain. The common transshipment for a sea terminal is from/to land to/from water.

As far as the definition of inclusion of operations within a terminal is concerned, there is a certain freedom of accounting and reporting boundaries: Container terminal operations vary in their legal and organizational structures; they include wholly owned operations, incorporated and non-incorporated business activities and subsidiaries. It is often a matter of decision, which of these operations are included within the emission calculation for a terminal. It is important though, that there is full transparency on where the boundaries were set and that these defined boundaries are kept unaltered for at least one following reporting periods, as otherwise no comparison is feasible (cf. GHG 2004).

## **3. Related Standards**

Currently, there is no single and unique standard system comprehensively and efficiently covering the terminal business processes. There are several standards in place already though, which can be used as a standards system for terminals. Figure 1 below shows such an example of a terminal standards system to allow for measurement and reporting of emissions. The management standards ISO 9001 [ISO 9001], ISO 26000 [ISO 26000] and ISO 14001 [ISO 14001] provide the general company terms of reference, allocation of responsibilities and reporting. Of course an emission capturing and calculation standard could be applied as a stand-alone standard, however, as almost all emissions on a terminal result from energy consumption, it is advisable to combine an energy management system according to ISO 50001 [ISO 50001] with emission standards to investigate the total carbon footprint of a terminal according to the GHG-Protocol [GHG 2004] or to ISO 14064 [ISO 14064] and finally the product-related carbon footprint analogue to CEN EN 16258 [CEN 16258]. It is currently not possible to calculate carbon dioxide emissions according to CEN EN 16258 as this standard covers transport carriers but not transshipment facilities. There is an ISO-standard expected to come to fill this gap.



**Figure 1 Example of Terminal Standard Cluster to Capture and Report Emission**

## 4. Methodology – Current Gaps and Recommendations

As a fair and consistent emission inventory must cover all relevant processes and activities required to achieve business objectives, GREEN EFFORTS recommends a top-down approach, i.e. determination of the total CO<sub>2</sub>-eq-emissions of a terminal according to the GHG-Protocol/ISO 14064 and then allocate the result to processes, activities or products. It is much easier to capture emission data for a whole business unit than for processes and products. The advantage of such a top-down approach is that there are reliable comprehensive data for the total carbon footprint, whereas allocation might not always be possible and will sometimes require estimated data. Preciseness of allocation can become improved as measuring and tracking devices will be available. Summing up all allocated emissions must again result in the company's total carbon footprint.

A further advantage of the top-down approach is, that the carbon dioxide inventory can become combined with an energy management system according to ISO 50001 as for a terminal energy consumption and emissions depend on each other. ISO 50001 requires an "energy efficiency indicator (EEI)" and it appears feasible to determine the energy consumption per "product" as EEI and then convert this to CO<sub>2</sub>-eq as the principal emissions KPI.

For an optimised management of application energy and carbon footprint it is recommended to integrate ISO 50001, GHG-Protocol/ISO 14064 and the idea of CEN EN 16258 (emissions on product level) into one consistent management system, adapted to the needs of an individual terminal.

## 5. Allocation to Product Level

(analogous to CEN EN 16258)

The “product” of a container terminal is an appropriately handled container and the relevant carbon footprint share per individual container requires a product-based carbon footprint calculation. It will neither be feasible nor reasonable to capture the “true” value for each container. An individual consideration of each container would result in different carbon footprints for similar containers. E.g. a container not having been moved during the dwell time on the yard will result in a lower carbon footprint than a similar box re-stacked several times to access containers stacked below. Therefore a generalized average approach is recommended to ensure fairness and manageability. The accumulation of all averaged values, however, must again result in the true total carbon footprint of the company. Default values provided by others therefore cannot be used.

Of course one cannot simply divide the total carbon footprint of a terminal by the number of containers handled to achieve the individual share because the containers are not all equally “energy-hungry”.

The crucial question is to what detail the container operations need to be broken down. Small differences of energy consumption, which usually cannot be captured anyway, should not be taken into account but only significant ones.

Currently it does not make sense to differentiate emission allocations according to accurate container weights because in most cases the weight is not available and the energy consumption of e.g. a crane will not differ too much just because of relatively small weight differences. As an example, recently measured container weights (laden containers) at a northern European container terminal resulted in following weights:

Container Size Feet	Export t	Import t	Average t
20'	19,6	16,5	18,0
40'	21,0	16,4	18,7
45'	21,5	20,8	21,0

**Table 1 Container Weights**

The total average results in 19,2 t. For a first step it appears reasonable to treat all boxes equally. Container weights are not accurately captured. The cargo documents contain the booking weight which can considerably differ from the true weight and not all cranes have weighting devices. It is however currently discussed by IMO to make container weighting mandatory and then it can be expected that container weights are captured automatically.

In all asymmetric trades as e.g. between Europe and Asia, empty containers (“empties”) have to be handled, too. The weights of empty containers are

20' t	40' t	45' t
2,4	4,0	4,8

**Table 2 Weights of Empty Containers**

The average results in 3,7 t. As the spreader to grab a container weights already about 16 t, it appears reasonable to also treat all empty containers equally for the time being and refine the capturing system once appropriate measuring and recording devices are installed.

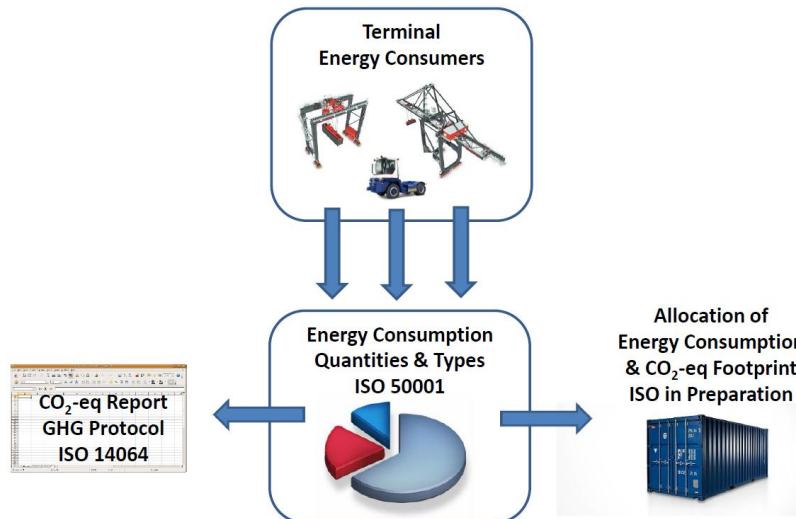
Assuming an average weight of 19,2 t for laden containers and 3,7 t for empties, the weight ratio is about 1 : 5,2 in this example. However there is no linear development between energy consumption and weight because of horizontal movements and system losses (friction etc.), thus in this case it seems reasonable to estimate a factor of 3, i.e. handling of a laden container requires 3-times the energy of handling an empty one. Again, for higher accuracy onsite measures are required in the future in order to achieve further accuracy.

Reefer containers are contributing significantly to a terminal's energy consumption. Deep frozen cargo and chilled cargo (fruits, vegetables) results in significant differences in energy consumption.

Reefer monitoring systems (RMS) technically have the potential to measure individual energy consumption of each reefer stacked on a yard, however, this potential is currently not offered by RMS-manufacturers. As long the individual consumption values per box cannot be captured and recorded it is recommended to exclude reefer consumption and hence carbon footprint from allocation. Of course the carbon footprint caused by handling of a reefer at a terminal is captured and included in the allocation scheme.

## **6. Comprehensive Management of Energy Consumption and Carbon Footprint**

Energy consumption data will serve for various monitoring and decision-making processes on a terminal. An approach where captured data are organised, processed and used consistently will prevent from errors and allow streamlining of administrative management. Figure 2 elucidates the comprehensive approach recommended by GREEN EFFORTS.



**Figure 2 Comprehensive Approach to Capture and Report Energy Consumption and Carbon Footprint**

## 7. Application Example (simplified)

European container terminal, total annual throughput 2.089.800 TEU  
 TEU-factor 1,62 results in 1.290.000 boxes total, 1.173.900 laden boxes and 116.100 empties

Annual energy consumption and resulting CO<sub>2</sub>-eq:

Consumer Cluster	Consumer	Consumption Million Litre Diesel	Conversion Factor*	GWh	Conversion Factor*	CO <sub>2</sub> -eq 1000 tonnes
Quay	ship-to-shore cranes			7,500	0,523	3,922
Yard	handling equipment	3,912	10,2	39,902	0,245	9,776
	lighting			0,750	0,523	0,392
	reefers			5,375	0,523	2,811
Premises	Offices etc.			1,625	0,523	0,850
<b>Total</b>		<b>3,912</b>		<b>55,152</b>		<b>17,751</b>
Less reefer consumption				49,777		14,940

\* Conversion factors may vary but must be applied consistently

**Table 3 Energy Consumption and CO<sub>2</sub>-eq Emissions**

The terminal total energy consumption results in 55,152 GWh equalling 17.751 t CO<sub>2</sub>-eq

For allocation of these energy consumptions and emissions to the terminal product, the container handled, the total sum is deducted by the reefer consumption, resulting in 49,777 GWh

The energy consumption of a laden container is being estimated as three times as high as of an empty one.

49,777 GWh annual consumption must be allocated to 1.173.900 laden boxes and 116.100 empties resulting in 41,0 kWh for a laden container and 13,7 kWh for an empty one.

On a TEU-basis (TEU-factor 1,62) this results in 25,3 kWh for a TEU laden and 8,5 kWh for an empty one.

Either based on containers or on TEU, this result can be used as energy efficiency indicator for ISO 50001 Energy Management. Furthermore, it can be used as a basis for future comparisons thus providing an indicator of improvement or loss in energy efficiency.

Assumed the same ratio of carbon footprint distribution for laden and empty containers as for the energy consumption, the carbon footprint results are 12,3 kg CO<sub>2</sub>-eq for a laden container 4,1 kg CO<sub>2</sub>-eq for an empty container respectively 7,6 kg CO<sub>2</sub>-eq for a TEU laden and 2,5 kg CO<sub>2</sub>-eq for a TEU empty.

It must be kept in mind that in this example the energy consumption of the reefers had been excluded from allocation (but not the handling and it is also included in the total carbon footprint of the terminal) as there is currently no possibility to achieve a fair solution. This can only be improved once measuring and recording of each individual reefer will become a standard on terminals.

## **8. Conclusion**

The first steps in establishing a CO<sub>2</sub>-eq inventory and allocation scheme to product level are cumbersome and challenging because a structured energy measuring system must be developed and installed and the capturing system must be comprehensive. Details overlooked in the base year prevent from qualified comparisons in the follow-up years. Capturing the total energy consumption of a terminal and derived emissions should not be too difficult, however, for allocation on product level the devil lies in the details. Usually a terminal is more complex than it appears in this paper and there can be subcontractors making it difficult to decide about operations and processes to be calculated or excluded.

Many detailed data will not be available at the beginning, either because there is no measure device or because lack of process tracking, therefore the paper recommends to apply a top-down approach allowing for future increase of accuracy by maintaining the overall approach and methodology.

This paper is restricted to container terminals but for other types of terminal the energy management will be similar, only the allocation methodology must differ as the "product" differs. Instead of allocating CO<sub>2</sub>-eq to containers, it must be distributed to tons of dry cargo or barrels of oil. Only multi-purpose terminals are more challenging because there all kind of cargo is being handled. According to the nature of cargo and energy demand for handling a reference system combining weight and volume might be required.

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